

No. IV-24011/37/2020/Prov-I/302
Government of India
Ministry of Home Affairs
Police Modernization Division/Prov.I

26, Man Singh Road, Jaisalmer House
New Delhi, Dated 25.05.2022

To

- (i) The Director Generals of all CAPFs
- (ii) IFAs of all CAPFs

Subject : Modernization Plan-IV for CAPFs.

Sir/ Madam,

The Department of Expenditure, vide its OM No. 01(01)/PFC-I/2022 dated 01.02.2022 has conveyed the approval of the Cabinet for Central Sector Scheme Modernization Plan for CAPFs (i.e. Assam Rifles, Border Security Force, Central Industrial Security Force, Central Reserve Police Force, Indo-Tibetan Border Police, National Security Guard and Sashastra Seema Bal) in continuation of Modernization of Plan-III with a total financial outlay of ₹ 1523 crore till 31.03.2026 or till further review, whichever is earlier.

2. CAPF wise sanctioned outlay under Modernization Plan-IV is as under :

(₹ in crore)

CAPFs	CRPF	BSF	ITBP	AR	SSB	CISF	NSG	Total
Approved outlay (01.01.2022-31.03.2026)	484.58	355.66	166.00	157.05	148.88	122.21	88.62	1523

3. The year-wise fund projection for all CAPFs under this scheme as appraised by EFC (Expenditure Finance Committee) is as under :

(₹ in Crore)

Year	2021-22	2022-23	2023-24	2024-25	2025-26	Total
Outlay	281.51	248.30	310.37	434.52	248.30	1523.00

4. The detailed scheme guidelines for the implementation of Modernization Plan-IV for CAPFs are enclosed at **Appendix**.

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5. This issues with the concurrence of IFD (H) vide note number 28 of this file, dated 01.05.2022 and approval of Union Home Secretary on 24.05.2022

Encl: As Above


(Vinod Kumar Arya)

Under Secretary to the Govt. of India

Tel : 2338 1278

Copy for information to :

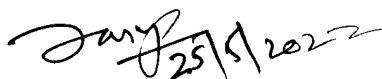
- (i) AS & FA (H), MHA, North Block, New Delhi
- (ii) JS (PM), MHA, North Block, New Delhi
- (iii) Director (Procurement), MHA, Jaisalmer House, New Delhi
- (iv) 2IC (Prov.)/US (Prov.II)

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Detailed Scheme Guidelines for implementation of Modernization Plan-IV for CAPFs

1. The objectives of the scheme are as under :
 - (i) To increase the strike capability of Forces with state of the art and superior arms & ammunition, surveillance equipment, strategic equipment, armoured vehicles and effective transport vehicles.
 - (ii) To provide state of the art communication, surveillance and border guarding systems which are the mainstay of any operational strategy.
 - (iii) To continuously upgrade the skills of the troops by providing training facilities.
 - (iv) To increase the operational capability level of CAPFs through their transformation into a techno-savvy, professional, well-trained and equipped Force with contemporary state of the art technology and armament in order to carry out their missions and duties within the country as well as at International Borders.
2. The detailed scheme guidelines for implementation of Modernization Plan-IV for CAPFs are as under :
 - (i) MHA may revise the approved outlay for each CAPF within the overall ceiling of total sanctioned outlay of Modernization Plan-IV i.e. Rs. 1523 Cr as per their implementation status.
 - (ii) CAPFs will procure only those items under Modernization Plan-IV which are listed in **Annexure-I**. CAPF concerned will be solely responsible for non-utilization/under utilization of any item procured under this Scheme.
 - (iii) However, due to technological advancement or change in priority, wherever it is felt necessary in the operational interest of the force, within the total approved outlay for each CAPF, modification in the list of approved items may be effected with the approval of the Competent Authority as per the extant delegation of financial powers in the Ministry. However, no change in the list of approved items shall be done by any CAPF on their own without prior approval of MHA.

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(iv) Since most of the items approved under Modernization Plan-IV are not authorized to the CAPF concerned so far, this approval would be treated as one time authorization-cum-provisioning sanction. CAPFs would have to seek only expenditure sanction of the competent authority as per the existing delegation of financial powers.

(v) The CAPFs would ensure that there is no overlap in number/ type of equipment under the Modernization Plan-IV and General provisioning budget of CAPFs.

(vi) Procurement of the items approved under Modernization Plan-IV will be done from PSUs/Open market (through Government e-Marketplace and CPPP in respect of the items available and not available on GeM respectively) after following the due procedure as per provisions of GFR 2017, Manual for Procurement of Goods, 2017 and the instructions issued on procurement by the Government of India from time to time like Preference to Make in India Order, 2017 as amended from time to time, MSME reservations etc. in consonance with Atmanirbhar Bharat Mission. For DRDO developed items, CAPFs will follow the procedure laid down by MHA vide MHA's OM's dated 15.07.2020 and 17.06.2021.

(vii) Lead Force concept for procurement of common weapons, equipment etc. would continue to the extent possible to ensure compatibility and interoperability, harness economies of scale, get the volume discount, avoid repeated efforts by CAPFs for procurement of the same items etc. Nodal force may be identified for each common item at the initial stage of implementation of Modernization Plan-IV and that nodal CAPF would be responsible for completion of entire procurement process on the behalf of all CAPFs. **CRPF being the largest CAPF would take necessary action to identify nodal CAPF for each common item.**

(viii) Efforts should be made, wherever possible, to share resources and infrastructure within CAPFs. This will help optimizing the outcomes of the financial resources made available by the Government. **All CAPFs are having their Inventory Management System. However, feasibility of developing Data bank of common items which could be available on a common e-portal wherein the forces can see each other's inventory as well as ongoing procurement cases, would be explored.**

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(ix) Payment against the liabilities created during Modernization Plan-III period, but not materialized till sunset of the said plan, can be made from funds allocation of Modernization Plan-IV after following due procedure.

(x) **Dedicated Cell for formulation of QRs/TDs in each CAPF :** To expedite QRs/TDs finalization process, MHA has decentralized the process of finalization of QRs/TDs by delegating its power of finalization of QRs/TDs to DGs of nodal CAPFs/BPR&D, on 02.01.2018. Each nodal CAPF will set up a dedicated QRs/TDs Cell manned by suitable technical experts not below the rank of Deputy Commandant from their existing strength for finalization of QRs/TDs referred by user CAPFs. Once user CAPF refers the draft QRs/TDs to the nodal CAPF for finalization/approval, the onus of finalizing/approving it lies with the nodal CAPF. The nodal CAPF would then finalize/approve the QRs/TDs without referring it back to the user CAPF for clarification. Any modification in the draft QRs/TDs may be done by the nodal force itself duly ensuring that the QRs meet the requirement of user and is of generic nature and not vendor specific in any case. The proposed QRs/TDs cell in each nodal CAPF may co-opt suitable external experts from academic institutions, think tanks and external consultant on case to case basis. In respect of items of highly technical nature, BIS or other expert agency may be approached for framing the QRs. CAPFs are advised to identify such officers having domain expertise within their organizations, out of their sanctioned strength, and get these officers trained in technical matters related to items/equipment being used by them and establish a pool of such officers. Only those officers having domain expertise may preferably be utilized in the proposed dedicated cell for QRs/TDs formulation. No additional manpower would be provided to CAPFs for this purpose. **The structure of dedicated cell for QR/TDs would be finalized by the nodal CAPF concerned.**

(xi) **Allowing CAPFs to initiate procurement process for all approved items irrespective of funds availability during particular financial year :** Keeping in view the long gestation period of the tender process, it has been decided to allow CAPFs to initiate procurement process/float tender for all approved items under this scheme irrespective of funds availability at that particular point of time subject to the condition that tendered amount must not exceed 2.5 times of allocated funds for that financial year and that the supply order will be placed by the CAPFs concerned only after ensuring availability of funds. If the procurement procedure for any approved item is completed, that would remain valid for

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that buyer organization till entire Mod Plan-IV period subject to the mutual consent of the buyer and the seller. This provision would ensure that CAPFs would be able to complete procurement process for maximum number of weapons/equipment etc. during the implementation period of this scheme. **Moreover, all CAPFs will prepare timelines for each broad activity for procurement of each item approved under this scheme.**

(xii) **Allowing Repeat order for MoD/sister CAPFs procured items :** CAPFs are allowed to place repeat order for Ministry of Defence (MoD)/sister CAPFs procured items (except IT equipment/solutions having a trend/likely trend of decreasing selling price), which are also approved under Modernization Plan-IV, without going through separate procurement process within three years from the date of placing supply order by MoD/sister CAPFs on same terms and conditions of supply, subject to the condition that the quantity being procured is not more than 1/4th of the originally procured quantity by MoD/ sister CAPFs. In order to make this provision effective, a clause to this effect shall be added in the notices inviting tenders and contracts of CAPFs. Further, the procuring entity shall ensure/certify price reasonability for the items being procured by the means of repeat order.

(xiii) **Monitoring framework :** PM Division, MHA will carry out detailed review of progress of this scheme on quarterly basis. CAPFs would provide monthly progress report to PM Division by 5th of every month starting from April, 2022 in the proforma prescribed at **Annexure-II**. Management Information System (MIS) would be developed to monitor activities of this Plan.

(xiv) **A high level Monitoring Committee, as per the following constitution, will monitor the progress of the procurement/Targets for physical inspection/ verification:**

Joint Secretary (PM)	:	Chairman
IGs (Prov) of all CAPFs	:	Member
Director/DS(Fin), MHA	:	Member

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(xv) **Third party evaluation** : Third party agency such as MP-IDSA, BPR&D etc. would be engaged for mid-term review to carry out mid-term correction and final evaluation of the scheme.

(xvi) MP-IDSA in its outcome review of Modernization Plan-III had observed that the capability planning process in the CAPFs is episodic and lacks a systemic approach. CAPFs' approach towards modernization has, thus far, been primarily driven by fulfilling existing capability gaps and deficiencies primarily driven by the annual budgetary planning process. **A systemic approach for capabilities based planning with identified desired outcomes would be necessary for future Modernization plan. CAPFs are advised to consider institutionalizing the following measures in this regard:**

- (a) Identify such officers having domain expertise in respect of weapons, equipment, specialized vehicles etc. as well as vast field experience within their organizations with a view to establish a pool of such officers to be deployed in a Perspective Planning Cell to be set up at Force headquarters in all the CAPFs for a reasonable fixed tenure, may be 4-5 years to provide continuity in the capability planning process. The proposed Perspective Planning Cell would be staffed from within the already sanctioned manpower in each CAPF. No additional manpower would, however be sanctioned for this.
- (b) This proposed Perspective 'Planning Cell' in each CAPF will lay out a 'Road Map for Capacity Building' at micro and macro levels.
- (c) Carry out Annual Resource Audit by **third party agency**.
- (d) To analyze issues related to dynamic duty mandate/ capacity upgradation/ optimizing resource effectively. This task could be assigned to Perspective Planning cell proposed in each CAPF. Proposals/ Demand submitted or generated by the CAPFs need to be an outcome of a research based study of availability of resources, expected deliverables and gap analysis.

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(xvii) For the items which require AMC but the cost of that has not been included in the Modernization Plan-IV, the expenditure for AMC will be incurred under "General Provisioning" budget heads and not under Modernization Plan-IV.

(xviii) Release of funds will be governed by DoE OM dated 9th March, 2022 for Central Sector Schemes, as amended from time to time.

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