

No. 21011/37/2022-PM-I  
Government of India  
Ministry of Home Affairs  
Police Modernisation Division

Jaisalmer House, 26, Man Singh Road  
New Delhi dated, the 8<sup>th</sup> August, 2022.

To

1. The Chief Secretary, All State Governments
2. The ACS/ Principal Secretary (Home), All State Governments
3. The Chief Secretary, Puducherry/ACS(Home), Jammu & Kashmir/Advisor to Lt. Governor & Secretary (Home), Dadra & Nagar Haveli and Daman & Diu,/Advisor to Lt. Governor & Secretary (Home), Ladakh /Advisor to the Administrator & Secretary (Home), Lakshadweep / Home Secretary, Chandigarh/ Secretary (Home), Andaman & Nicobar.
4. The Commissioners of Police, Delhi/ The Director Generals of Police of all States and Jammu & Kashmir, Chandigarh, Andaman & Nicobar and Puducherry/ ADGP, Ladakh/ Dy. Inspector Generals of Police of Dadra & Nagar Haveli and Daman & Diu and Lakshadweep

**Subject: Guidelines for implementation of the scheme of 'Assistance to States and Union Territories(UTs) for Modernisation of Police (ASUMP)' for the period till 2025-26.**

Madam/Sir,

It is brought to your notice, that the Government of India has approved the scheme "Assistance to States and Union Territories(UTs) for Modernisation of Police" under the umbrella scheme of "Modernisation of Police Forces (MPF)" for the further period till 2025-26.

2. Under the scheme, central assistance will be provided to all the State/UT Governments for weapons; equipment for Information Technology, Communication, Training, etc. Construction of Police stations is also allowed to all States and UTs. However, 'mobility' and 'construction of police housing and other police infrastructure' are allowed only in Jammu & Kashmir, insurgency affected areas of North Eastern (NE) Region, i.e. States which are covered under the scheme of Security Related Expenditure(SRE)(NE) and Left Wing Extremism(LWE) affected areas, i.e. districts



covered under the scheme of SRE (LWE). Further, the items under 'mobility' head would be used only for strengthening field level police offices and not for State headquarter/ zonal/ Divisional/ Range level police offices. An overall outlay of Rs. 4846 crore under the Scheme has been approved for five years during the period from 2021-22 to 2025-26. The annual allocation of funds for the Scheme, however, will depend upon fund utilization by States and UTs in previous financial year.

3. Guidelines shall be translated in respective State official language by the State /UT Governments and made available to Home Secretary Office, DGP office, SCRB, State FSL and other related offices so that there would be full understanding of the guidelines. In case of any doubt, the English version shall be referred.

4. The detailed guidelines, superseding the earlier guidelines, are enclosed. State /UT Governments are requested to kindly adhere to the guidelines and ensure successful implementation of the sub-scheme.

Yours Sincerely,



(C.G. Rajini Kaanthan)

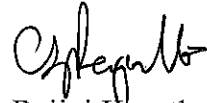
Joint Secretary to the Government of India

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**Copy to: -**

1. The Chief Executive Officer, NITI Aayog, NITI Bhawan, New Delhi.
2. Additional Secretary & Financial Adviser (Home), MHA, New Delhi.
3. Director General, BPR&D, New Delhi.

**Copy for information to:** PS to HM / PS to MOS(H) / Sr. PPS to HS/AS(J&K)/JS(UT).



(C.G. Rajini Kaanthan)

Joint Secretary to the Government of India

## Guidelines for implementation of the scheme of 'Assistance to States and Union Territories for Moderisation of Police (ASUMP)' for the period till 2025-26

### Background

1. 'Public order' and 'Police' fall under the category of subjects in the domain of the States as per Entries 1 and 2 of List II of the VII<sup>th</sup> Schedule to the Constitution of India. Thus, the principal responsibility for managing these subjects lies with the State Governments. However, the States have not been able to modernize and equip their police forces up to the desired level due to financial constraints. It is in this context that the Ministry of Home Affairs (MHA) has been supplementing the efforts and resources of the States, from time to time, by implementing the Scheme for Modernisation of State Police Forces (MPF Scheme). The scheme has also been extended for Union Territories (UTs) from the FY 2022-23. The name of the sub-scheme has now been changed to "Assistance to States & UTs for Modernization of Police".

### Objective

2. The objective of the sub-scheme is to equip the State/UT Police Forces adequately through development of relevant infrastructure. The focus of the sub-scheme is to strengthen police infrastructure at cutting edge level by equipping the police stations with the required modern technology, weaponry, communication equipment etc. along with construction of Police Stations in all the States. Further, it includes mobility and construction of other police infrastructure including housing, but only for specific areas. The sub-scheme should not be used for routine acquisitions.

### Funding Pattern

3. States/UT s have been grouped into three categories:

Category 'A'	Two Himalayan States, namely, Uttarakhand and Himachal Pradesh, 8 North Eastern States including Sikkim.	Eligible to receive financial assistance on <b>90:10 Centre: State</b> sharing basis
Category 'B'	Remaining States	Eligible for financial assistance on <b>60:40 Centre: State</b> sharing basis.
Category 'C'	UT s	100 % Central

This is subject to modification as per instructions of NITI Aayog from time to time.

## **Inter-State/UT Distribution of Funds**

4. As per the guidelines on rationalization of Centrally Sponsored Schemes conveyed by the NITI Aayog, vide O.M. No. O-11013/02/2015-CSS & CMC dated 17.8.2016, inter-State distribution shall be on the basis of criteria evolved by a Committee Comprising Secretary of the Nodal Administrative Ministry as Chairman, Financial Adviser of the Ministry and Adviser concerned of NITI Aayog as Members.

### **Items / Activities covered**

**5(a).** Expenditure is permissible on the items relating to Police, subject to the scrutiny by the 'High Powered Committee' of MHA. All the State /UT Governments will be allowed the fund utilization under the scheme for weapons, equipment for Forensics, Information Technology & Communication, Training, etc. Construction of Police stations is also allowed to all States and UT s. However, 'mobility' and 'construction of police housing and other police infrastructure' as mentioned at 5(g) are only allowed in Jammu & Kashmir, insurgency affected areas of North Eastern (NE) Region, i.e. States which are covered under the scheme of Security Related Expenditure(SRE)(NE) and Left Wing Extremism(LWE) affected areas, i.e. districts covered under the scheme of SRE (LWE). Further, the items under 'mobility' head would be used only for strengthening field level police offices and not for State headquarter/ Range/ Zonal/ Divisional level police offices. Moreover, items which are allowed under any other scheme/project of the Government of India shall not be allowed to be purchased under this scheme.

**5(b).** 10% of size of the Action Plan of a State/UT shall be mandatorily planned for development of capacity building of police personnel and improvement in Police-Public Interface. This may include Imparting training on Yoga, meditation, psychological counseling in stress management, community service. The list is only illustrative and other activities which lead to better response to grievances handling and improvement in police accountability shall also be implemented. Illustrative Ideas in this regard would be as below:

- i. Purchase of body-worn cameras for police personnel.
- ii. Establishment of cell for monitoring police- public interface by watching feeds of CCTV (in police stations and police posts) and body worn cameras.
- iii. Additional training components with capacity building plan for effective 'community policing' & 'Timely completion of Antecedents/character verification process for Public'.

**5(c).** States / UTs may have a theme of one component (i.e. Equipments for 'Cyber policing', communication equipment, advanced weaponry, etc.) for modernization in each year, so that after completion of that component, inference can be drawn that the State/UT has achieved the minimum required standard for a particular component and there will not be any major requirement in that component in the succeeding years.

**5(d).** The State/UT Governments have scarcity of expert agencies advice and assessment pertaining to the latest technology etc. The State/UT Governments may hire a PMC (Project Management Consultancy) with not more than 3 % of the cost of each individual technical /IT system project. The PMC engaged shall be utilized only for that selected project.

**5(e).** The list given below only indicates the broad areas and the State/UT Governments may prepare projects keeping in mind their actual requirements. The items to be funded under the sub-scheme are listed below in 5(f) and 5(g):

**5(f)** Items other than Construction:

- i. Weapons.
- ii. Training aids/equipment required for imparting training to police personnel.
- iii. All equipment required for Police organizations including communication equipment, surveillance equipment, traffic control equipment, software, equipment related to SMART policing. For the purposes of this sub-scheme, special purpose vehicles such as Tractor, Vajra, Prison Van, Water Tanker, shall be treated as equipment and HPC will consider permission to such equipment as proposed by the States/UT s.
- iv. Equipment related to Cyber-forensics.
- v. Communication and other related equipment
- vi. Various Security Equipment/items such as protection gear for women police
- vii. Mobility (only for specific areas): Procurement of vehicles only where operationally required. The types of vehicles will be heavy, medium, light and motor cycles. Further, the items under 'mobility' head would be used only for strengthening field level police offices.

The State/UT Governments are not authorized to use funds for procurement of ammunition for regular use. However, **ammunition for practice/training can be provided** under the scheme. **Recurring expenditure will not be allowed.**

Note-1: Laboratory material and equipments for forensic science laboratories in the States/UT s is to be decided in consultation with the Directorate of Forensic Science Services, Government of India/Regional Forensic Science laboratories of DFSS.

**5(g)** Construction works:

- i. Construction of police station buildings. Creating toilet facilities for women staff in each police station. This item is allowed to all States and UT s.
- ii. Construction of police infrastructure, i.e., police outposts, police lines,etc. Construction of police training institutions and cyber forensic labs.
- iii. Police Housing: Housing for lower subordinates (Constables & Head Constables) and upper subordinates (ASI, SI and Inspectors).

Note-1: The police stations that are to be constructed under this scheme should have basic facilities such as reception, waiting room, armoury, Communication room, Rest room for personnel, separate toilet facilities for male and female, crèche, etc. The ultimate objective for construction of police stations, police lines and outposts should be to improve police functioning and delivery of police services. The building design recommended by BPR&D may be adhered to. However, improvements are permitted.

Note-2: Construction of police training institutions under the sub-scheme will be decided in consultation with the State/UT Governments and the Bureau of Police Research & Development. Construction of cyber forensic science laboratories in the States is to be decided in consultation with the National Forensic Science University, Gandhinagar.

Note- 3: Sl. No. (ii) and (iii) are allowed only for Jammu & Kashmir, insurgency affected North Eastern States and LWE affected Districts.

### **Contingency Reserve**

6. In order to meet emergent requirements and contingent needs of States/UT s, there will be a Reserve Fund of 5% of the Annual Allocation releasable to States & UT s, of the scheme. Allocations out of these funds shall be made over and above the annual allocations of the needy States/UT s after obtaining approval of the Union Home Minister. There shall be no need for States/UT s to contribute their share. As the funds have been allocated out of the HM Contingency Reserve for meeting exigencies by the concerned State/UT Police Forces, the same are not subject to the stipulation of prior liquidation of unspent balances under the main components of the scheme for the previous financial years. However, UC s will be required from the State/UT of the previously released amount under HM Contingency Reserve to be eligible for subsequent release.

### **Incentives for Police Reforms**

7. To incentivize the implementation of Police Reforms as recommended by various committees and others, the HPC shall be competent to sanction up to 20% of the total annual allocation of the scheme to States/UTs who have done exemplary work for the same. The funding pattern as applied to Main Action Plan shall be applicable for this segment also. The list of reforms for this purpose will be sent Separately.

### **Approval Mechanism of State/UT Action Plans**

8. The mechanism of approval of State/UT Action Plans will be as follows:
- i. The Ministry of Home Affairs will intimate the tentative annual allocations to the States/UTs before 30<sup>th</sup> September of the financial year previous to the financial year under consideration, issue detailed instructions to all the State/UT Governments for formulation of State/UT Action Plans.

ii. State/UT Governments shall submit State/UT Action Plans under the Sub-scheme to MHA with the appraisal/approval of the State/UT Level Empowered Committee (SLEC/UTLEC). The composition of SLEC/UTLEC shall be as below:

- a) Addl. Chief Secretary(ACS) (Home)/ Principal Secretary(Home) /Home Commissioner - Chairman
- b) ACS/Principal Secretary(Finance) -Member
- c) ACS/Principal Secretary (Planning) -Member
- d) Director General of Police -Member
- e) Director, State Crime Records Bureau -Member

ACS/Principal Secretary (Home) shall nominate any officer, not below the rank of Joint Secretary of the State/UT Government, as a Convener for the SLEC/UTLEC.

In case of UTs, wherever officers are not exclusively posted for these posts /organizations, any other officer of the rank of ADGP/IG shall be nominated by the Home Secretary concerned.

- iii. State/UT Action Plans need to be formulated by the State/UT Governments after making a baseline assessment of the ground situation, identification of gaps & deficiencies and a time-horizon of at least three years apart from reviewing the previous years' plan, the State/UT's Strategic Plan, and after conducting an analysis of local needs and goals to see how they fit in with the State/UT's Strategic priorities.
- iv. It is advised that the States/UT s project their requirements in terms of its priority in the State /UT Action Plans.
- v. The eligible States/UTs which shall be permitted to use funds for mobility for the specific areas, shall not exceed the limit of 20% of the State/UT Action Plan under items of mobility. Vehicles are to be purchased for operational purpose for the field offices only.
- vi. In the MHA, the High Powered Committee (HPC) shall consider and approve the Action Plans. The composition of HPC shall be as under:

- a)Additional Secretary/Joint Secretary looking after the charge of Police Modernisation Division of the MHA - Chairman
- b) Nominee of AS&FA(Home) - Member
- c) Nominee of DG, Bureau of Police Research & Development(BPR&D) - Member

- vii. On consideration of the proposal of the State/UT, the HPC may suggest modifications and changes in the proposal submitted by the State/UT Government. Thereafter, the State/UT Governments may be required to finalize and resubmit the same.
- viii. After passing of the Union Budget, final State/UT-wise allocations will be conveyed to the States/UT s.
- ix. In order to ensure that the State/UT Action Plan proposals are prepared, reviewed and approved in a timely manner, a calendar for the Annual Action Plan approval cycle is given below: -

Sl. No.	Activity	Date/Month by which activity is to be completed	Responsibility
1.	Letter from the PM Division, MHA, to all States/UTs inviting State/UT Action Plans proposals for the next financial year, indicating guidelines as well as formats for Action Plans and the tentative annual allocations.	30 <sup>th</sup> September	PM Division, MHA
2.	Submission of Action Plans to the PM Division, MHA	31 <sup>st</sup> December	State/UT Governments
3.	Meetings of the HPC to review/approve in principle the Action Plans**	January/February, (of subsequent calendar year)	PM Division, MHA
4.	After passing of the Union Budget, final State-wise allocations will be informed to the States/UTs	April	PM Division, MHA

\*\* State/UT Governments will immediately and in any case within 15 days, submit revised Action Plan as per directions of HPC to MHA.

- x. Funds released for a particular item included in the approved State/UT Action Plans will not be diverted by the State/UT Government for any other item without obtaining specific approval from the SLEC/UTLEC and the HPC. Chairman HPC is empowered to make modifications in the Action Plans approved by HPC to the extent of 25% of the total Plan in consultation with IFD (MHA). This clause will be applicable in case of State/UT Action Plans approved in previous years as well.
- xi. **Sunset clause for State /UT Action Plan: Approved State /UT Action Plan shall be valid for implementation only for 3 financial years, i.e. Action Plan shall be valid for the financial year for which it is approved and subsequent two financial years.** Extension of validity for implementation of Action Plan beyond this period shall be decided by HPC.



## **Release of funds**

9. Guidelines/procedures as stipulated by the Ministry of Finance from time to time shall be followed. Funds are to be released to the State/UT Governments /Implementing Agencies "Just in time" to the extent possible and strictly on the basis of unspent balances available as per PFMS with the State Governments/ Implementing Agencies. For fund transfer, general guidelines issued by Department of Expenditure vide its O.M. No. 1(13)/PFMS/FCD/2020 dated 23.03.2021 as revised from time to time must be followed.

## **Additional important points**

10. The State/UT Governments may kindly note the following general points regarding the implementation of the Sub-scheme:

- i. The State/UT Action Plans should be outcome oriented.
- ii. For being eligible for the first installment during a Financial Year, the State Governments will credit corresponding State share in full to the Single Nodal Account of the Single Nodal Agency (SNA) and provide intimation of the same to MHA.
- iii. The State Governments will follow the prescribed administrative and financial rules / regulations, procedures of budgeting, accounting, internal control, auditing while formulating State Action Plans and releasing funds under the sub-scheme.
- iv. Advice and assistance of Bureau of Police Research and Development may be taken wherever necessary and norms circulated by the BPR&D may be given due consideration.
- v. The procurement of weapons and other equipment by States may be tagged, wherever possible, with ongoing Centralized procurement of the CAPFs. The State Governments are advised to take advantage of the discounts obtained due to aggregating orders of both the CAPFs and States/UTs.
- vi. Fund released to the States by MHA under the Sub-scheme are to be utilized for the intended purpose and parking of funds should be avoided.
- vii. The State Governments shall furnish the Utilization Certificates (UCs) on the proforma prescribed in GFR 12-C (as per General Financial Rules, 2017) within stipulated time-frame.
- viii. A Programme Management Unit (PMU) will be constituted in the PM Division of the Ministry of Home Affairs to examine the proposals of the States and conduct performance reviews in the States regarding implementation of the Sub-scheme. Besides this, the implementation of the scheme will be monitored by deputing teams of officers from the Ministry of Home Affairs who shall visit the States and make periodic assessments regarding achievement of targets.
- ix. The quantity of item approved can be increased (within the approved cost of that item) as per the requirement by the Police Forces. Further, reduction in price quote

may lead to saving in a particular financial year. For utilization of the amount (due to saving), procurement of the other approved items for that year can be made in additional quantity.

- x. Adherence to Department of Promotion of Industry Internal Trade (DPIIT)'s Order dated 16.09.2020, as modified from time to time, regarding make in India public procurement policy may be ensured.
- xi. State Government may preferably follow Department of expenditures Orders dated 23.01.2020 and 23.10.2020 (procurement through GeM). So far as procurement through GeM is concerned, it is important that reasonability of rate is to be ensured by the procuring entity.
- xii. Adherence to provision of GFR 2017, Ministry of Finance's manual for procurement of goods and services 2017 and CVC guidelines while making procurement may be ensured to the extent possible.
- xiii. Item/equipment, for which MHA's Qualitative Requirement (QRs) are available on MHA's website or BIS standards are available, may be procured on the basis of the same and vendor specific requirements may be avoided.
- xiv. All interest/other earnings accrued by organization against amount released by MHA shall be mandatorily remitted to the Consolidated Fund of India (CFI).
- xv. Physical inspection/verification by Group A/Class I Officers of the Government of India will be done from time to time.
- xvi. Digital portal is under preparation and monitoring of implementation will be done through the same.
- xvii. State Governments shall adhere to the approved names of the schemes as mentioned in the Budget etc. and as per documents presented to the Parliament.

### **Sunset Clause**

11. The sub-scheme has approval up to 31.03.2026. No further extension is likely to be sought for this sub-scheme. States are, therefore, requested to allocate adequate resources for modernisation of State Police from their budget also.

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