

No.21011/59/2017-PM-I
Government of India
Ministry of Home Affairs
P.M. Division

Jaisalmer House, 26, Man Singh Road,
New Delhi, Dated the 22nd December, 2017

To

1. The Chief Secretaries of NE States.
2. The Home Secretaries of NE States.
3. The Directors General of Police of NE States.

Subject: Guidelines for implementation of the component of "Assistance to North-Eastern States for Special Projects/Programmes for upgrading police infrastructure, training institutes, investigation facilities, etc." for three years from 2017-18 to 2019-20 under the umbrella scheme of "Modernisation of Police Forces(MPF)".

Sir,

I am directed to say that the Government of India has approved the component of "Assistance to North-Eastern States for Special Projects/Programmes for upgrading police infrastructure, training institutes, investigation facilities, etc." under the sub-scheme of "Assistance to States for Special Projects/programmes for upgrading Police infrastructure [including Forensic Laboratories & Institutes and equipments]" for three years from 2017-18 to 2019-20 under the umbrella scheme of "Modernisation of Police Forces".

2. Total outlay of Rs.90 crore (Rs. 21 crore for 2017-18, Rs. 30 crore for 2018-19 and Rs.39 crore for 2019-20) has been approved for the North Eastern States including Sikkim on 90:10 (Centre : State) sharing basis.
3. The detailed guidelines are at Annexure. State Governments are requested to kindly adhere to the guidelines and ensure successful implementation of the component under the umbrella scheme of MPF.

Yours faithfully,

Vivek Bharadwaj
(Vivek Bharadwaj) 22/12
Joint Secretary to the Government of India
Tel :23383827

Copy to:-

1. **CEO**, Niti Aayog, Yojana Bhawan, New Delhi.
2. Additional Secretary & Financial Adviser(Home), North Block, New Delhi.

Copy for information to:

PS to HM / PS to MOS(H)/PS to MOS(C) / Sr. PPS to HS.

Guidelines for implementation of the component of "Assistance to North-Eastern States for Special Projects/Programmes for upgrading police infrastructure, training institutes, investigation facilities, etc." under the sub-scheme of "Assistance to States for Special Projects/programmes for upgrading Police infrastructure [including Forensic Laboratories & Institutes and equipments]" during the period of three years from 2017-18 to 2019-20 under the umbrella scheme of "Modernisation of Police Forces".

Background

1. Unlike other parts of the country, the North East region holds an important position from a strategic point of view as these States share their borders with other countries - Bangladesh, Bhutan, Myanmar and China. The terrain, the state of socio-economic development and historical factors such as language/ethnicity, tribal rivalry, migration, control over local resources and a widespread feeling of exploitation and alienation have resulted in a fragile security situation in the North Eastern States. This has resulted in violence and diverse demands by various Indian Insurgent Groups (IIGs). These States are heavily dependent on the central police forces. In the light of this, it is important to enhance capacity building of the police organisations in these States. Centre's support towards this end is all the more essential owing to industrial and infrastructural backwardness along with geographical constraints. North-eastern States find it difficult to raise resources on their own, especially for critical sectors such as maintenance of law and order.

Objective

2. The objective of this component is to provide funds to assist the North Eastern States for execution of special projects /programmes, establishment/upgradation of training institutes, investigation facilities etc., which will be able to enhance and sustain capabilities and capacity building of the police in these States. Institutions that could be funded include hi-tech forensic laboratories, police training institutes, which would impart training for intelligence gathering, IT capabilities, weapons training, etc. These States will be given assistance for special projects/programmes in order to leverage/optimize program outcomes of the Modernisation of Police Forces scheme which was being implemented since 1969-70, within the overall framework approved from time to time.

Funding pattern

3. The States of (i) Arunachal Pradesh, (ii) Assam, (iii) Manipur, (iv) Meghalaya, (v) Mizoram, (vi) Nagaland, (vii) Sikkim, and (viii) Tripura may avail this component. The funding pattern will be 90:10 (Centre : State).

Items/Activities covered

4. Expenditure is permissible on all the items pertaining to special projects/programmes for upgrading police infrastructure, training institutes, investigation facilities, etc. subject to the scrutiny by the Ministry of Home Affairs.

Approval Mechanism of State Action Plans

5. No State-wise allocation of funds has been made. The States will furnish proposal to the Ministry of Home Affairs (MHA) with the appraisal/approval of the State Level Empowered Committee (SLEC). SLEC is presided over by the Chief Secretary, with the ACS/Principal Secretary-Finance, the ACS/Principal Secretary- Home, the Planning Commissioner and the DGP as Members. Once sanctioned by the SLEC, no further sanction shall be required from any other forum at the State Government level. The proposal should be received in MHA within two months of issue of these guidelines and the same will be considered and approved by this Ministry. The proposals will be examined in this Ministry in consultation with Internal Finance Division and approval or otherwise will be conveyed to the concerned State Government.

6. Content of the proposals and criteria adopted for approval

6.1 The proposal should contain detailed justification, a feasibility report or Detailed Project Report, estimates of expenditure and time-lines for various stages towards completion of the project/programme. Since the central share will be released during 2017-18, 2018-19 and 2019-20, cost estimates of non-recurring expenditure should be provided year-wise and component-wise and no recurring expenditure will be funded from central share.

6.2 Requirement/necessity of the project will be weighed/examined by MHA and appropriate decision will be taken on merits. It may be ensured by the States that the institute/facility which will be established with central assistance under this component shall be available for utilisation by other States also. To ensure adequate capacity

utilisation and to avoid wastage of resources, one of the criteria will be relative absence of such an institute/facility in the vicinity of the proposed location, which is proposed to be given central assistance. No expenditure due to time and cost over-runs during the implementation of the project/programme shall be funded by MHA.

Release of funds

7. The central assistance shall be released during the three years 2017-18, 2018-19 and 2019-20 and projects/programmes approved under this component shall be completed in time-bound manner and in any case by the end of financial year 2020-21.

7.1 Funds in the first year, i.e. 2017-18 will be released on the basis of approval of the proposal by MHA and based on the year-wise phasing of expenditure indicated by the State. In the subsequent years the funds will be released based on UCs submitted by the States in terms with the GFR 239. While releasing the funds in the second and third years, the unspent balances reported by the States in the UCs submitted by them will be adjusted from the allocation of a particular year.

Additional important points

8. The State Governments may kindly note the following general points regarding the implementation of the Sub-scheme:

- i) The projects proposed should have a discernable and demonstrable impact on the law & order machinery/security situation of the State/region.
- ii) This sub-scheme will not be for filling deficiencies/gaps in the established structure of the police administration.
- iii) Need based assessment should be the basis of the projects/programmes proposed by the state Government.
- iv) The proposals should be outcome oriented.
- v) Mandatory approvals and clearances from various authorities shall be obtained by the State Government.
- vi) The State Governments will ensure contribution of State share, as is due, from their own budget towards the sub-scheme and provide intimation of the same to MHA.
- vii) The State Governments will follow the prescribed administrative and financial rules / regulations, procedures of budgeting, accounting, internal

control, auditing while formulating proposals and releasing funds under the sub-scheme.

- viii) Advice and assistance of Bureau of Police Research and Development may be taken wherever necessary and norms circulated by the BPR&D may be given due consideration.
- ix) Fund released to the States by MHA under the sub-scheme are to be utilized for the intended purpose and parking of funds should be avoided.
- x) The State Governments shall furnish the Utilization Certificates (UCs) on the proforma prescribed in GFR 12-C (as per General Financial Rules, 2017) within stipulated time-frame.
- xi) There should not be any items/projects proposed by the State Government under this component which may overlap with the sub-scheme of "Assistance to States for Modernization of Police" approved under Umbrella scheme.

Sunset Clause

9. This component and the concerned sub-scheme has approval up to 31.03.2020. No further extension is likely to be sought for this sub-scheme.
